	(HAD [197901003400(47680-X)] Apex Securities Berhad)			
SECURITIES Application for Trading	Securities in LEAP Market ophisticated Investors			
INVESTOR'S PARTICULARS				
Kajang (HQ) Branch:	Kiosk:			
Account No.:	Date Request:			
Name of Investor(s) [As per registration document]:				
NRIC No./ Passport No./ Company Registration No.:	Effective Date:			
	I Standing			
Personal / Individual Gross Annual Income	Corporate / Institutional An Accredited investors as defined in Part 1A & 1B, Schedules 6			
Below RM 300 K	and 7 of Capital Market and Services Act 2007			
RM 300 K – RM 400 K	Yes			
Above RM 400 K	🗌 No			
Personal Assets	Company Assets			
Below RM 3 Million	Below RM 10 Million			
RM 3 Million – RM 4 Million	RM 10 Million – RM 15 Million			
Above RM 4 Million	Above RM 15 Million			
Investment Objectives				
1. What is your investment objective in dealing securities in LEAP M	larket?			
Trading/ Speculative Value Investing	Founding Shareholder Retirement Purposes			
Short-term Investment (within 6 months)Medium-term Investment (within 1 year)	Long-term Investment (more than 1 year)			
2. Do you have any experience and knowledge in securities trading?				
□ No experience / Knowledge □ Limited Exper	ience			
LEAP MARKET RISK DISCLOSURE STATEMENT				
The LEAP Market is aimed at facilitating access to the capital market by small or medium sized entreprises ("SMEs") to which a higher investment risk may be attached. This market is a qualified market meant for sophisticated investors only, i.e. those who qualify under Part I of Schedules 6 and 7 of the Capital Markets and Services Act 2007 ("CMSA"). The issue or offer of securities on the LEAP Market is based on an information memorandum, and not a full prospectus registered with the Securities Commission Malaysia under section 233 of the CMSA. In the LEAP Market, sophisticated investors will have the full responsibility for evaluating the disclosed information, as well as the merits and risks of investing.				
	ormal risks involved in trading in securities, trading securities in the LEAP t in the securities offered unless you are a sophisticated investor and you			

Market comes with other additional risks. You should NOT invest in the securities offered unless you are a sophisticated investor and you fully understand and are prepared to take the risks. You should assess whether the purchase of the securities is suitable for you in light of your knowledge, financial means, investment objectives and the risks you are prepared to take. The risks of investing in such securities include but are not limited to the following:

(i) Small Companies

Generally, the listed companies on the LEAP Market are smaller than the listed companies on the ACE Market or Main Market and may not have a proven track record in terms of operating history or profit track record. Hence, you should be aware that there is a higher risk of business failures which may adversely impact your investments should you choose to invest.

(ii) Minimum Requirements on Disclosure and Governance

The LEAP Market has a regulatory framework on disclosure and post-listing requirements appropriate for sophisticated investors only. This framework may be less prescriptive than that in the ACE Market or Main Market. The listed companies on the LEAP

LEAP MARKET RISK DISCLOSURE STATEMENT (CONT'D)

Market are required to provide only key information about its business plan, operations and financial information. This may affect your ability to make fully informed investment decisions.

(iii) Liquidity Risks

As a market limited to sophisticated investors only, the LEAP Market may not have the trading activities or liquidity of the ACE Market or Main Market. You may not be able to exit your investment as easily as in the ACE Market or Main Market. Limited trading activities or illiquidity in the LEAP Market may increase the risk of loss by making it difficult to effect transactions or sell the LEAP Market securities.

2) This brief statement cannot disclose all the risks and other significant aspects of trading securities in the LEAP Market. You should understand the key characteristics, business plan and financial information of the companies listed on the LEAP Market throughly and carefully study all the risks associated with securities in the LEAP Market and/or seek independent professional advice before you decide to invest.

ACKNOWLEDGEMENT OF RECEIPT OF THIS RISK DISCLOSURE STATEMENT

I acknowledge that I have read the LEAP Market Risk Disclosure Statement and understand its contents which have been explained to me.

WITNESS BY: I hereby confirm that the signature belongs to the applicant who has appeared in person before me.

Applicant Signature/ Authorized Signatory (With Company Stamp/ Company Seal of Entity if applic	cable)	Witness Signature (Dealer's Representative/ Notary Public/ Commissioner of Oaths)
Name:		Name:
NRIC No. / Passport No. Armed Forces No. / Police Personnel No.:		NRIC No. / Passport No. Armed Forces No. / Police Personnel No.:
Date:		Date:
Dec	laration on S	Sophisticated Investor
		falls within any one of the categories of investors set out in Part 1, Schedules s solemn declaration conscientiously believing the same to be true.
Applicant:	Witness:	
Signature:	Signature:	Name:
Date:	Date:	NRIC:
or Networth (excluding present residential property	y being occup	n annual income of RM 300K or combined income with spouse of RM 400K pied) of RM 3 Million. For type of Corporate the company assets must more ut in Part 1A & B, Schedules 6 and 7 of the CMSA.
DEALER'S	REPRESE	ENTATIVE DECLARATION
How long have I known the Applicant: Y	ear	Any relationship/ introduced by:
Contact to Average: Yes No		Other Information:
		nsibilities financial or otherwise, for the Applicant. I declare and agree that onal supporting document and I'm obliged to comply to the request.
Signed by:		Name:
		Trader Code:
		NRIC No:
Date:		

FOR OFFICE USE ONLY			
Credit Management Dept.			
Checked Blacklist File	e: Yes No Client Annual Income:		
Paid Up Capital:	Shareholder Fund: Counterparty Limit:		
Checking	Result		
CTOS Info	Summons; No of Summons: Bankruptcy; No of Bankruptcy:		
Legal Action	Company with winding up petition Other Legal Action:		
Directorship/ Shareholder	Remarks:		
No Record	Number of Directorships:		
	Number of Shareholder:		
Remisier			
Suspended	Good Trading Record: Yes No Working Capital (RM):		
Not Suspended	Other Remarks:		
Vetted by:	Remarks:		
	Date:		
Recommendation for Approval:			
A qualified Sophisticated Investor, permitted to BUY and SELL.			
A current LEAP Market Company Shareholder but not Sophisticated Investor, permitted to SELL ONLY.			
Not consider as a Sophisticated Investor, not permitted to buy or sell LEAP Market securities.			
Approv (e.g. HCM / HOC	ed by: D/EDD/CEO) Remarks:		
	Date:		
Operations Dept. (aft			
Date Received:	Initial:		
Data Entry by:	Date:		
Verified by:	Date:		
Effective Date:	Remarks:		
Compliance Dept.			
Checked by:	Date:		
Remarks:			